Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/US05/005263

International filing date: 17 February 2005 (17.02.2005)

Document type: Certified copy of priority document

Document details: Country/Office: US

Number: 60/546,521

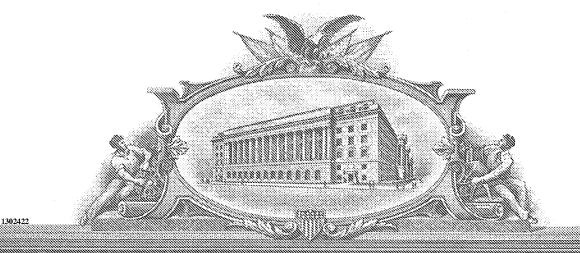
Filing date: 21 February 2004 (21.02.2004)

Date of receipt at the International Bureau: 07 April 2005 (07.04.2005)

Remark: Priority document submitted or transmitted to the International Bureau in

compliance with Rule 17.1(a) or (b)





'4'() And Tio vaidhi thiusic prousents; sham, (conic;

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

March 31, 2005

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE.

APPLICATION NUMBER: 60/546,521 FILING DATE: February 21, 2004

RELATED PCT APPLICATION NUMBER: PCT/US05/05263

Certified by

Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office



PTO/SB/16 (01-04)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

Express Mail Label No. ER 829862851 US

<i>-</i>								
INVENTOR(S)								
Given Name (first and middle [if any]		Family Name or Surname		(City a	Residence (City and either State or Foreign Country)			
DAVID T	. w.	WONG		Los	ANGEL	ES, CA	224	
Additional inventors are b	eing named on the	11	_separately num	bered sheets	attached h	ereto		
TITLE OF THE INVENTION (500 characters max)								
Direct all correspondence to: CORRESPONDENCE ADDRESS								
Customer Number: 33717								
OR								
Firm or Individual Name			,					
Address					-			
Address	-							
City			State		Zip			
Country		<u></u>	Telephone		Fax			
	ENCLO	SED APPLICATION PA	RTS (check all	that apply)	4.			
Specification Number	er of Pages / / /			CD(s), Numbe	-			
Drawing(s) Number				Other (specify))			
	eet. See 37 CFR 1.7							
METHOD OF PAYMENT	OF FILING FEES FO	OR THIS PROVISIONAL AF	PPLICATION FOR	PATENT		•		
Y Applicant claims sn	nall entity status. See	37 CFR 1.27.			FILING			
A check or money order is enclosed to cover the filing fees.								
The Director is herby authorized to charge filing								
lees or credit any overpayment to Deposit Account Number: 90 28 30								
Payment by credit card. Form PTO-2038 is attached.								
The invention was made by an agency of the United States Government or under a contract with an agency of the								
United States Government.								
No.								
Yes, the name of the U.S. Government agency and the Government contract number are:								
Respectfully submitted. [Page 1 of 2] Date Feb. 21, 2004								
SIGNATURE REGISTRATION NO. 30166 (if appropriate)								
TYPED or PRINTED NAME DARROW, CHRISTOPHER Docket Number: 52027-012800								

TELEPHONE (310) 586 - 7895

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Provisional Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PROVISIONAL APPLICATION COVER SHEET Additional Page

PTO/SB/16 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Docket Number INVENTOR(S)/APPLICANT(S) Residence Given Name (first and middle [if any] Family or Surname (City and either State or Foreign Country) LOS ANGELES, CA MAIE A.R. ST. JOHN LOS ANGELES, CA 41

[Page 2 of 2]

Number	ł	o	f !	l

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US

Attorney Docket No. 58027-014800

RNA EXTRACTION METHOD AND BIOMARKERS FOR ORAL CAVITY AND

OROPHARYNGEAL CANCER

BY

David T. W. Wong; Maie A. R. St. John; and Yang Li

Field of the disclosure

[0001] The present disclosure relates to methods to isolate RNA in saliva, to

biomarkers for oral cavity and oropharhyngeal cancers and to method and kit using said

biomarkers.

[0002] This invention was made with Government support of grant U01-DE15018

awarded by the NIH. The Government has certain rights on this invention

Background of the disclosure

[0003] Saliva is not a passive "ultrafiltrate" of serum (Rehak et al., 2000), but

contains a distinctive composition of enzymes, hormones, antibodies, and other

molecules. In the past 10 years, the use of saliva as a diagnostic fluid has been

successfully applied in diagnostics and predicting populations at risk for a variety of

conditions (Streckfus and Bigler, 2002).

[0004] Diagnostic biomarkers in saliva have been identified for monitoring caries,

periodontitis, oral cancer, salivary gland diseases, and systemic disorders, e.g.,

hepatitis and HIV (Lawrence, 2002). Human genetic alterations are detectable both

intracellularly and extracellularly (Sidransky, 1997). Nucleic acids have been identified

in most bodily fluids including blood, urine and cerebrospinal fluid, and have been

successfully adopted for using as diagnostic biomarkers for diseases (Anker, et al.,

1999; Rieger-Christ, et al., 2003; Wong, et al., 2003).

[0005] Recent interest has developed to detect nucleic acid markers in saliva. To

date, most of the DNA or RNA in saliva was found to be of viral or bacterial origin

1

\\LA-SRV01\219400v05\58027.014800

Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

(Stamey, et al., 2003; Mercer et al., 2001). There are a limited number of reports

demonstrating tumor cell DNA heterogeneity in saliva of oral cancer patients (Liao, et

al., 2000; El-Naggar, et al., 2001). We have not found published evidence of human

mRNA detectable in saliva. The potential presence of mRNA in saliva may expand the

repertoire of diagnostic analytes for translational and clinical applications.

[0006] There is a need for such analytes or biomarkers especially with reference to

cancer related applications.

[0007] Head and neck squamous cell carcinoma (HNSCC) is the sixth most common

cancer in the world, and affects 50,000 Americans annually. Worldwide, cancers of the

oral cavity (OC) and oropharynx (OP) represent a great public health problem.

Squamous cell carcinoma (SCCA) of the OC accounts for nearly 50% of all newly

diagnosed cancers in India and is a leading cause of death in France [1].

[0008] Despite improvements in locoregional control, morbidity and mortality rates

have improved little in the past 30 years [2]. Therefore, early detection or prevention of

this disease is likely to be most effective. Detecting HNSCC at an early stage is

believed to be the most effective means to reduce death and disfigurement from this

disease. The absence of definite early warning signs for most head and neck cancers

suggests that sensitive and specific biomarkers are likely to be important in screening

high risk patients. A number of molecular markers have been used to detect these

tumors with varying degrees of specificity and sensitivity. DNA markers include TP53,

microsatellite instability (MSI), and the presence of the human papilloma virus (HPV)

and the Epstein-Barr virus (EBV) genomic sequences [3]. None of these markers has

been shown to universally identify OSCC.

Summary of the disclosure

[0009] According to a first aspect, a method to isolate mRNA from saliva is

disclosed, comprising: providing a cell-free saliva supernatant; and isolating mRNA from

the cell free saliva supernatant.

\LA-SRV01\219400v05\58027.014800

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

[0010] In particular, providing a cell-free saliva supernatant preferably comprises:

providing unstimulated saliva; and deriving the cell-free saliva supernatant from the

unstimulated saliva.

[0011] According to a second aspect, a method to perform transcriptome analysis of

saliva is disclosed, comprising providing cell-free saliva supernatant; and detecting a

transcriptome pattern in the saliva supernatant.

[0012] In particular, detecting transcriptome pattern in the saliva supernatant is

preferably performed by microarray assay, most preferably by high-density

oligonucleotide microarray assay. Detecting transcriptome pattern in the saliva

supernatant can also performed by quantitative PCR analysis or RT-PCR analysis.

[0013] According to a third aspect, a method to detect genetic alterations in an organ

by analyzing a bodily fluid draining from the organ, is disclosed. The bodily fluid is in

particular saliva and method comprises: providing cell-free saliva supernatant; detecting

a transcriptome pattern in the saliva supernatant; and comparing the transcriptome

pattern with a predetermined pattern, the predetermined pattern being indicative of a

common pattern transcriptome of normal cell-free saliva.

[0014] According to a fourth aspect, a method to detect genetic alteration of a gene

in an organ by analyzing a bodily fluid draining from the organ, is disclosed. The bodily

fluid is in particular saliva and the method comprises: providing cell-free saliva

supernatant; detecting an mRNA profile of the gene in the saliva supernatant; and

comparing the mRNA profile of the gene with a predetermined mRNA profile of the

gene, the predetermined mRNA profile of the gene being indicative of the mRNA profile

of the gene in normal cell-free saliva.

[0015] According to a fifth aspect, a method to diagnose an oral or systemic disease

in a subject, is disclosed the method comprising: providing cell-free saliva supernatant

of the subject; detecting in the cell-free saliva supernatant an mRNA profile of a gene

associated with the disease; and compare the RNA profile of the gene with a

\\LA-SRV01\219400v05\58027.014800

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

predetermined mRNA profile of the gene, the predetermined mRNA profile of the gene

being indicative of the presence of the disease in the subject.

[0016] In a first embodiment the disease is a cancer of the oral cavity and/or of

oropharynx and the gene is selected from the group consisting of the gene coding for

IL8, IL1B, DUSP1, HA3, OAZ1, S100P and SAT.

[0017] In a second embodiment the disease is a cancer of the oral cavity and/or a

oropharynx and the gene is the gene coding for IL8.

[0018] Diseases that can be diagnosed include oropharyngeal Squamous cell

carcinoma, head and neck squamous cell carcinoma, breast cancer and diabetes.

[0019] According to a sixth aspect a method to diagnose an oral or systemic disease

in a subject is disclosed, the method comprising: providing cell-free saliva supernatant

of the subject; detecting in the cell-free saliva supernatant a transcriptome pattern

associated with the disease; and comparing the transcriptome pattern with a

predetermined pattern, wherein the recognition in the transcriptome pattern of

characteristic of the predetermined pattern is diagnostic for the disease in the subject.

[0020] In an embodiment the disease is a cancer of the oral cavity and/or of

oropharynx, and transcriptome include transcript is selected from the group consisting

of transcripts for IL8, IL1B, DUSP1, HA3, OAZ1, S100P and SAT.

[0021] Diseases that can be diagnosed include oropharyngeal Squamous cell

carcinoma, head and neck squamous cell carcinoma, breast cancer and diabetes.

[0022] According to a seventh aspect, a method for diagnosing a cancer in a subject

is disclosed, the method comprising: providing a bodily fluid of the subject; detecting in

the bodily fluid a profile of a biomarker, the biomarker selected from the group

consisting of IL6, IL8 IL1B, DUSP1, HA3, OAZ1, S100P and SAT; and comparing the

profile of the biomarker with a predetermined profile of the biomarker, wherein the

\LA-SRV01\219400v05\58027.014800

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

recognition in the profile of the biomarker of characteristics of the predetermined profile

of the biomarker being diagnostic for the cancer.

[0023] In a first embodiment, the biomarker is selected from the group consisting of

IL8, IL1B, DUSP1, HA3, OAZ1, S100P and SAT, the bodily fluid is saliva and detecting

a profile of a biomarker is performed by detecting the mRNA profile of the biomarker.

[0024] In a second embodiment, the biomarker is IL6, the bodily fluid is blood serum

and detecting a profile of a biomarker is performed by detecting the mRNA profile of the

biomarker.

[0025] In a third embodiment, the biomarker is IL6, the bodily fluid is blood serum

and detecting a profile of a biomarker is performed by detecting the protein profile of the

biomarker.

[0026] Diseases that can be diagnosed include oropharyngeal Squamous cell

carcinoma, head and neck squamous cell carcinoma, breast cancer and diabetes.

[0027] According to an eighth aspect a kit for the diagnosis of an oral and/or

systemic disease is disclosed, the kit comprising: an identifier of at least one biomarker

in a bodily fluid, the biomarker selected from the group consisting of IL8, IL1B, DUSP1,

HA3, OAZ1, S100P and SAT; and a detector for the identifier.

[0028] The identifier and the detector are to be used in detecting the bodily fluid

profile of the biomarker according to the diagnostic methods herein disclosed. In

particular, the identifier is associated to the biomarker in the bodily fluid, and the

detector is used to detect the identifier, the identifier and the detector thereby enables

the detection of the bodily fluid profile of the biomarker.

[0029] Diseases that can be diagnosed include oropharyngeal Squamous cell

carcinoma, head and neck squamous cell carcinoma, breast cancer, HIV and diabetes.

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

[0030] According to a ninth aspect a method is disclosed, comprising: using salivary

mRNAs as biomarkers for oral and/or systemic diseases.

[0031] In a preferred embodiment the mRNA codify for IL8, IL1B, DUSP1, HA3,

OAZ1, S100P and SAT.

[0032] Diseases that can be diagnosed include oropharyngeal Squamous cell

carcinoma, head and neck squamous cell carcinoma, breast cancer and diabetes.

[0033] The methods and kits of the disclosure will be exemplified with the aid of the

enclosed figures.

Description of the figures

[0034] Figure 1: Detection of gene specific RNA in cell-free saliva using RT-PCR.

(A) RNA stability in saliva was tested by RT-PCR typing for ACTB after storage for 1, 3,

and 6 months (lanes 2, 3, 4 respectively). Lane 1, molecular weight marker (100bp

ladder); Lane 5, negative control (omitting templates). (B) GAPDH (B1), RPS9 (B2) and

ACTB (B3) were detected consistently in all 10 cases. Lanes 1, 2 and 3 are saliva RNA,

positive control (human total RNA, BD Biosciences Clontech, Palo Alto, CA, USA) and

negative controls (omitting templates), respectively.

[0035] Figure 2: Amplification of RNA from cell-free saliva for microarray study. (A)

Monitoring of RNA amplification by agarose gel electrophoresis. Lanes 1 to 5 are 1kb

DNA ladder, 5µl saliva after RNA isolation (undetectable), 1µl two round amplified cRNA

(range from 200 bp to ~4kb), 1µl cRNA after fragmentation (around 100bp) and Ambion

RNA Century Marker, respectively. (B) ACTB can be detected in every main step during

salivary RNA amplification. The agarose gel shows expected single band (153bp) of

PCR product. Lane 1 to 8 are 100bp DNA ladder, total RNA isolated from cell-free

saliva, 1st round cDNA, 1st round cRNA after RT, 2nd round cDNA, 2nd round cRNA

after RT, positive control (human total RNA, BD Biosciences Clontech, Palo Alto, CA,

USA) and negative control (omitting templates), respectively. (C) Target cRNA analyzed

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

by Agilent 2100 bioanalyzer before hybridization on microarray. Only one single peak in a narrow range (50-200bp) was detected demonstrating proper fragmentation.

[0036] Figure 3: <u>IL-6</u> and <u>IL-8</u> mrna transcripts are present in the fluid phase of <u>saliva</u>. Using reverse transcriptase-polymerase chain reaction (RT-PCR), we were able to demonstrate that IL-6 and IL-8 mRNA transcripts are present in the fluid phase in saliva. The PCR products had the sizes (95 bp and 88 bp, respectively) that were expected from the selected primers.

[0037] Figure 4: Optimization of centrifugation speed for saliva. In order to ensure that the RNA and protein analyzed were from the fluid phase of saliva only (and to ensure the lack of contamination by intracellular components), we optimized the centrifugation speed for the saliva and serum samples. We utilized genomic DNA as a marker of cell lysis and spillage of intracellular components. We performed PCR for the housekeeping gene β-actin on whole saliva and serum samples, and samples that had been centrifuged at various speeds. We were able to determine the optimal centrifugation speeds at which there was no spillage of intracellular contents. Saliva was then centrifuged at 2600xg; blood was centrifuged at 1000xg.

[0038] Figure 5: <u>IL-8 levels are significantly higher in the saliva of OSCC patients.</u> Concentrations of IL-8 in saliva from patients with OSCC and normal subjects. The levels of IL-8 in saliva from age- and gender-matched patients with OSCC and normal subjects were measured by qRT-PCR (mRNA copy number, A), and ELISA (pg/ml, B). The mean concentration of IL-8 detected in replicate samples in saliva in patients with cancer, and normal subjects is shown. *Solid bars*, mean concentration (<u>+</u> SEM) of samples for each group. A significant difference by t test between affected and normal subjects at P < 0.05.

[0039] Figure 6: <u>IL-6 levels are significantly higher in the serum of OSCC patients.</u> Concentrations of IL-6 in serum from patients with OSCC and normal subjects. The levels of IL-6 in serum from age- and gender-matched patients with OSCC and normal subjects were measured by qRT-PCR (mRNA copy number, A), and ELISA (pg/ml, B).

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

The mean concentration of IL-6 detected in replicate samples in serum in patients with cancer, and normal subjects is shown. *Solid bars*, mean concentration (\pm SEM) of samples for each group. A significant difference by t test between affected and normal subjects at P < 0.05.

[0040] Figure 7: ROC (Receiver Operating Characteristic) Curves (A) IL-8 in Saliva; (B) IL-6 in Serum; (C) IL-8 in Saliva and IL-6 in Serum. ROC (Receiver Operating Characteristic) curves, plots of sensitivities versus 1-specificities, were generated for each of the potential biomarkers. The areas under the ROC curves were calculated, as measures of the utility of each biomarker for detecting OSCC (Table 3(1)). For IL-8 in saliva, a threshold value of 600 pg/mL yields a sensitivity of 86% and a specificity of 97%. For IL-6 in serum, a threshold value of greater than 0 pg/mL yields a sensitivity of 57% and a specificity of 100%. For a combination of IL-8 protein in saliva and IL-6 protein in serum, a threshold value of > 600 pg/mL IL-8 in saliva and > 0 pg/ml IL-6 in serum yields a sensitivity of 99% and a specificity of 90%.

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

Detailed description of the disclosure

[0041] The methods and kits of this disclosure have been derived as a result of a

study which is disclosed herein below.

[0042] The inventors have been actively involved in the application of patient-based

genome-wide technologies to identify molecular biomarkers from saliva. A series of

emerging technologies to detect diagnostic analytes in saliva has been applied.

[0043] The inventors have identified salivary human interleukin 8 mRNA and protein

to be diagnostic of patients with oral cavity and pharyngeal cancer.

[0044] Based on this finding, the inventors hypothesized that there are constituent

human mRNAs in saliva. The purpose of the present disclosure was to determine the

transcriptome profiles in cell-free saliva obtained from normal subjects. High-density

oligonucleotide microarrays were used for the global transcriptome profiling. The

salivary transcriptome patterns were used to generate a reference database for salivary

transcriptome diagnostics applications.

[0045] Saliva, like other bodily fluids, has been used to monitor human health and

disease. This disclosure tests the hypothesis that informative human mRNA exists in

cell-free saliva. If present, salivary mRNA may provide potential biomarkers to identify

populations and patients at high risk for oral and systemic diseases. Unstimulated saliva

was collected from ten normal subjects. RNA was isolated from the cell-free saliva

supernatant and linearly amplified. High-density oligonucleotide microarrays were used

to profile salivary mRNA. The results demonstrated that there are thousands of human

mRNAs in cell-free saliva.

[0046] Quantitative PCR (Q-PCR) analysis confirmed the present of mRNA identified

by our microarray study. A reference database was generated based on the mRNA

profiles in normal saliva. The present disclosure proposes a novel clinical approach to

\\LA-SRV01\219400v05\58027.014800

Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

salivary diagnostics, Salivary Transcriptome Diagnostics (STD), for potential applications in disease diagnostics as well as normal health surveillance.

[0047] Saliva meets the demands of an inexpensive, non-invasive and accessible bodily fluid to act as an ideal diagnostic medium. Specific and informative biomarkers in saliva are greatly needed to serve for diagnosing disease and monitoring human health (Bonassi *et al.*, 2001; Streckfus and Bigler, 2002; Sidransky, 2002). Knowing the constituents in saliva is essential for using this medium to identify potential biomarkers for disease diagnostics (Pusch *et al.*, 2003). One criticism has been the idea that informative molecules are generally present in low amounts in saliva.

[0048] However, with new amplification techniques and highly sensitive assays, this may no longer be a limitation (Xiang et al., 2003). In accordance with the present disclosure, the human RNA was successfully isolated from unstimulated cell-free saliva supernatant. The quality of salivary mRNA was proved to be sufficient for use in RT-PCR, Q-PCR and microarray experiments. Distinct difference exists between saliva and other bodily fluids (e.g., blood) in that saliva naturally contains microorganisms (Sakki and Knuuttila, 1996). In addition, some extraneous substances (e.g., food debris) make the composition of saliva more complex. Therefore, it is simpler and more accurate to use the fluid/supernatant phase of saliva, instead of the whole saliva as medium for detecting biomarkers.

[0049] According to the present disclosure, the conditions for separating the pellet and saliva supernatant were optimized to avoid mechanical rupture of cellular elements which would contribute to the RNA detected in the fluidic cell-free phase. The results of the disclosure demonstrate that it is feasible and efficient to use cell-free saliva for transcriptome analysis. While it is a novel finding that human mRNAs exist in cell-free saliva supernatant, nucleic acids have long been detected in other cell-free bodily fluids and subsequently used for disease diagnostics. For example, specific oncogene, tumor suppressor gene and microsatellite alterations have been identified in patients' serum (Anker et al., 2003). Moreover, tumor mRNAs have been isolated and amplified from

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US

Attorney Docket No. 58027-014800

serum of patients with different malignancies (Kopreski, et al., 1999; Fleischhacker, et

al., 2001). It has been widely accepted that these genomic messengers detected

extracellularly can serve as biomarkers for diseases (Sidransky, 1997).

[0050] In accordance with the present disclosure, human mRNA in unstimulated

saliva is globally profiled. Using microarray technology, the inventors discovered that

approximately 3,000 different human mRNAs exist in cell-free saliva of each normal

subject. The salivary transcriptome pattern in cell-free saliva from normal populations

could potentially serve as a health-monitoring database. It should be noted that we now

know the human genome composed of more than 30,000 genes (Venter, et al., 2001)

and the probe sets on HG U133A microarray used by the inventors represent only

~19,000 human genes. Additional gene transcripts not detectable by the HG U133A

microarray will likely exist in the cell-free saliva. Therefore, it is reasonable to predict

that more human mRNAs will be identified in saliva by other advanced methodologies.

The identified gene transcripts in the present disclosure, particularly the Normal Salivary

Core Transcriptome (NSCT) mRNAs, represent the common transcriptome of normal

cell-free saliva.

[0051] According to the present disclosure that human RNA can be isolated,

amplified and profiled from cell-free saliva. This advances the concept that saliva has

the potential to be a key medium for detecting and monitoring human health and

disease. Moreover, the present disclosure provides new insights into previously

unnoticed biological processes, such as the release and clearance of RNA in saliva.

The origin of human mRNA found in saliva remains to be an important biological

question that needs to answer.

[0052] The inventors hypothesize that different, informative and diagnostic

transcriptome can be identified in saliva from patients with various disease conditions.

Human salivary mRNA can be used as diagnostic biomarkers for oral and systemic

diseases that may be manifested in the oral cavity. In particular, salivary mRNA can be

\\LA-SRV01\219400v05\58027.014800

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US

Attorney Docket No. 58027-014800

used as diagnostic biomarkers for cancer that may be manifested and/or affect the oral

cavity.

[0053] It is possible that saliva-based mRNA assays have the needed specificity and

sensitivity for reliable diagnostics. This innovative approach, salivary transcriptome

diagnostics (STD), can provide new opportunities for early diagnostics of oral and

systemic diseases.

[0054] The inventors have been actively involved in the application of patient-based

genome wide technologies to identify molecular biomarkers specific for OSCC. Since

morbidity and mortality rates due to oral cavity and oropharyngeal squamous cell

carcinoma (OSCC) have improved little in the past 30 years, early detection or

prevention of this disease is likely to be most effective. Using laser-capture

microdissection and global gene expression profiling using high-density oligonucleotide

arrays, we have identified the expression of two cellular genes which are uniquely

associated with OSCC: IL-6 and IL-8. [4] These cytokines have also been linked with

increased tumor growth and metastasis, and could thus contribute to the pathogenesis

of this disease.[5] Their expression is silenced in normal keratinocytes. Others have

also detected elevated concentrations of IL-6 and IL-8 in cell-line supernatants, tumor

specimens, and the serum of patients with HNSCC. [5]

[0055] Genetic alterations can be successfully identified in bodily fluids draining the

organ affected by the tumor.[6] With this in mind, the inventors investigated whether the

ability to analyze saliva for potential biomarkers would be feasible in the diagnosis of

OSCC. In particular to investigate whether IL-6 and/or IL-8 could serve as informative

biomarkers for OSCC in patient saliva and/or serum; to determine if there is a role for

saliva as a diagnostic medium for OSCC.

[0056] Specifically, the inventors examined IL-6 and IL-8 at the messenger RNA

(mRNA) and the protein levels in both the serum and saliva of OSCC patients and age-

and gender-matched controls. Furthermore, the data were subjected to statistical

\\LA-SRV01\219400v05\58027.014800

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

analysis in order to determine the specificity and sensitivity of these biomarkers for

OSCC, as well as their predictive value.

[0057] In the present disclosure, the inventors set out to identify whether two specific

cytokines, IL-6 and IL-8, could be measured in the saliva and serum of patients with

OSCC, and whether these cytokines could potentially be useful as biomarkers for head

and neck cancers. IL-8 was detected at higher concentrations in the saliva of patients

with OSCC (P < 0.01); and IL-6 was detected at higher concentrations in the serum of

patients with OSCC (P < 0.01). The inventors confirmed these results at both the

mRNA and the protein levels, and the results were concordant. The concentration of IL-

8 in saliva and IL-6 in serum did not appear to be associated with gender, age, or

alcohol or tobacco use (P > 0.75). The inventors subjected the data to statistical

analysis, in particular to ROC analysis, and were able to determine the threshold value,

sensitivity, and specificity of each biomarker for detecting OSCC (Table 3).

Furthermore, the inventors were able to measure mRNA in salivary specimens.

[0058] Numerous studies have shown that genetic alterations can be successfully

identified in bodily fluids that drain from the organ affected by the tumor.[6] The ability

to analyze saliva would therefore be beneficial in the diagnosis and treatment of OSCC.

The use of saliva has been criticized as a diagnostic medium since informative analytes

are generally present in lower amounts than in serum. However, with new amplification

techniques and highly sensitive assays, this objection is no longer valid. We tested the

hypothesis that IL-6 and IL-8 mRNA transcripts are present in the fluid phase in saliva

by using RT-PCR. The RT-PCR studies demonstrated that saliva and serum indeed

contained mRNA encoding for IL-6 and IL-8 (See example 4).

[0059] The use of the fluid phase of saliva has unique advantages over the use of

exfoliated cells. Depending on the location of the tumor, one may not be able to easily

access and swab the tumor bed. Although salivary biomarkers could not identify the

site from which the tumor originated, they could identify patients at risk. Such a saliva

test could be administered by nonspecialists in remote locations as a screening tool to

\\LA-SRV01\219400v05\58027.014800

Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

select patients for referral for careful evaluation of the upper aerodigestive tract.

Finding early stage, previously undetected disease may ultimately save lives. Moreover,

the use of easily accessible biomarkers may prove highly beneficial in large populations

or chemoprevention trials.

[0060] Other studies have supported a role for IL-6 and IL-8 in OSCC [5][11][12] [13].

Preliminary results of an analysis of the effect of surgery or chemo- or radiotherapy

upon IL-6 levels indicate that serum cytokine levels decrease in post-treatment

patients.[14] Using the Affymetrix 133A high-density oligonucleotide arrays our

laboratory has independently profiled the salivary transcriptome of 10 of the 32 OSCC

samples and confirmed IL-8 was significantly overexpressed (>2 fold) in all samples

examined (P<0.05).

[0061] Accordingly IL-6 and IL-8 may therefore play a role in the pathogenicity of

OSCC as well as serve as useful biomarkers. Elevation of IL-6 has been shown to

promote immune unresponsiveness and induction of wasting, cachexia, and

hypercalcemia, all of which are observed in patients with OSCC who have a poor

prognosis.[15][16] IL-8 plays an important role in the stimulation of angiogenesis,

proliferation, and chemotaxis of granulocytes and macrophages, which are prominent

constituents in the stroma of OSCCs.[14][15] In our study, the IL-6 levels in serum, and

IL-8 levels in the saliva of OSCC patients were all higher than the determined cutoff

value (Figure 7). Variations in biomarker levels in OSCC patients may be attributed to a

number of factors, including: submucosal tumor growth, or differences in individual

tumor host inflammatory responses. The fact that no healthy control subject had a

saliva or serum marker above the reported cutoff is encouraging, indicating the

excellent specificity of these tests.

[0062] The inventors are aware of the role and association of IL-6 and IL-8 in various

inflammatory conditions in the oral cavity (e.g. periodontal diseases) and serum. While

the inventors did not stratify the patient population according to these conditions, the

outcome of the pooled analysis was statistically significant with respect to the levels of

\\LA-SRV01\219400v05\58027.014800

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

these two pro-inflammatory cytokines between OSCC patients and normal controls.

This suggests that the OSCC contribution to the elevation of IL-6 and IL-8 in saliva and

serum is significantly above the background contribution by the host's potential

inflammatory conditions that may affect these two cytokines in saliva and serum.

[0063] The findings of the inventors indicate that IL-8 in saliva, and IL-6 in serum

may hold promise as biomarkers for OSCC. According to the National Cancer

Institute's "Early Detection Research Network (EDRN)", which defines biomarker

validation as a 5 phase process [17], this study represents the completion of the second

phase. Phase 3 will be a retrospective longitudinal study including different patient

groups (i.e. OSCC of different stages including precancers and controls including other

oral diseases).

[0064] A saliva-based test could be a cost-effective adjunct diagnostic tool in the

postoperative management of OSCC patients. It could potentially be used for

monitoring the efficacy of treatment, or disease recurrence after therapy has concluded.

IL-6 and IL-8 may also serve as prognostic indicators to direct the treatment of patients

with head and neck cancer. In the future, high-risk patients can be directed to more

aggressive or adjuvant treatment regimens.

[0065] The use of these biomarkers may also improve the staging of the tumor.

With traditional techniques, the presence of microscopic distant disease is often under

recognized. In recent years, there has been a shift from locoregional failure to distant

failure for patients treated for presumed locoregional disease.[18] This in part is a

reflection of subclinical distant disease present prior to the initiation of therapy. Testing

for the presence of biomarkers may allow the detection of small amounts of tumor cells

in a background of normal tissue. It is conceivable that the identification of a biomarker

specific for head and neck tumors or of a panel of such biomarkers may allow the

detection of distant microscopic disease.

[0066] As a result a non-invasive diagnostic detection of diseases, and in particular

of oral cavity and oropharyngeal cancer in patients is disclosed. In particular saliva IL8

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

mRNA and protein are diagnostic of patients with Oral Cavity (OC) and Oropharyngeal

(OP) Squamous Cell Carcinoma (SCCA), as well as IL1B, DUSP1, HA3, OAZ1, S100P

and SAT also tested. This could be envisioned during routine dental visits or targeted

screening of individuals at high risk of development of the disease. A home test kit can

also be envisioned.

[0067] Whole saliva can be obtained from subjects through specific defined

procedures to isolate mRNA and proteins, preferably with the procedures and methods

disclosed herein, Real time quantitative PCR and ELISA for the respective cytokine will

be performed for IL8.

[0068] Serum IL6 mRNA and protein are also diagnostic of patients with OC and OP

SCCA. this could be envisioned as a screening test for presence of occult OC and OP

SCCA during routine physician's visit with blood work or targeted screening of

individuals at high risk for oral cancer development. A home test kit can also be

envisioned.

[0069] In particular peripheral blood can be obtained from subjects using routine

clinical procedures. mRNA and proteins are isolated, preferably with an optimized

procedures herein disclosed. Real time quantitative PCR and ELISA for the respective

cytokine will be performed for IL6.

[0070] The method and kits can be used during routine physician's visit as part of

e.g. regular physical examination. Additionally screening of high risk population for the

disease can be performed. In the case of OC and OP SCCA are a) smokers and

dirnkers age >45; b) African Americans; c) women age 20-40 with no associated known

risk factor.

[0071] Such an assay will be have the advantage of being simple and robust. When

performed on saliva will have a further advantage of using a non-invasive fluid.

\\LA-SRV01\219400v05\58027.014800

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

[0072] The fact that no healthy control subject had a saliva or serum marker above

the reported threshold is encouraging, indicating the excellent test specificity.

[0073] Analogous results were obtained for breast cancer, diabetes, and are

expected for HIV.

[0074] Future refinement of the approach of the inventors may focus on several

areas. More biomarkers could be added to the panel. Using oral fluid based microarray

technologies, our laboratory has identified other molecular biomarkers that appear

specific for OSCC, which include IL1B, DUSP1, HA3, OAZ1, S100P and SAT. A

comprehensive panel of markers capturing all tumors and low-cost high-throughput

technology is ideal so that early molecular detection can be applied in real-life

screening. Widespread adoption of clinical innovation will depend on its cost,

standardization, reproducibility, and ease of use.

[0075] The cumulative outcomes of the proposed studies will be used in a series of

next steps towards the eventual creation of micro-/nano-electrical mechanical systems

(MEMS/NEMS) for the ultrasensitive detection of molecular biomarkers in oral fluid.

RNA and protein expression for the validated OSCC biomarkers will be selected as

targets for cancer detection. The integration of these detection systems for the

concurrent detection of mRNA and protein for multiple OSCC biomarkers will result in

an efficient, automated, affordable system for oral fluid based cancer diagnostics.

[0076] Further details concerning reagents, conditions, compositions techniques to

be used in the method and kits of the disclosure are identifiable by a person skilled in

the art upon reading of the present disclosure.

[0077] The following examples are provided to describe the invention in further

detail. These examples, which set forth a preferred mode presently contemplated for

carrying out the invention, are intended to illustrate and not to limit the invention

Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

Examples

Example 1: RNA Isolation and Amplification

[0078] Normal subjects

[0079] Saliva samples were obtained from ten normal donors from the Division of

Otolaryngology, Head and Neck Surgery, at the Medical Center, University of California,

Los Angeles (UCLA), CA, in accordance with a protocol approved by the UCLA

Institutional Review Board. The following inclusion criteria were used: age 30 years; no

history of malignancy, immunodeficiency, autoimmune disorders, hepatitis, HIV infection

or smoking. The study population was composed of 6 males and 4 females, with an

average age of 42 years (range from 32 to 55 years).

[0080] Saliva collection and processing

[0081] Unstimulated saliva were collected between 9am and 10am in accordance

with published protocols (Navazesh, 1993). Subjects were asked to refrain from eating,

drinking, smoking or oral hygiene procedures for at least one hour prior to saliva-

collection. Saliva samples were centrifuged at 2,600 x g for 15 min at 4_oC. Saliva

supernatant was separated from the cellular phase. RNase inhibitor (Superase-In.

Ambion Inc., Austin, TX, USA) and protease inhibitor (Aprotinin, Sigma, St. Louis, MO,

USA) were then added into the cell-free saliva supernatant.

[0082] RNA isolation from cell-free saliva

[0083] RNA was isolated from cell-free saliva supernatant using the modified

protocol from the manufacturer (QIAamp Viral RNA kit, Qiagen, Valencia, CA, USA).

Saliva (560 µL), mixed 6well with AVL buffer (2,240 µL), was incubated at room

temperature for 10 min. Absolute ethanol (2,240 µL) was added and the solution passed

through silica columns by centrifugation at 6,000 x g for 1 min. The columns were then

washed twice, centrifuged at 20,000 x g for 2 min, and eluted with 30 µL RNase free

Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

water at 9,000 x q for 2 min. Aliquots of RNA were treated with RNase-free DNase (DNase I-DNA-free, Ambion Inc., Austin, TX, USA) according to the manufacturer's instructions. The quality of isolated RNA was examined by RT-PCR for three housekeeping gene transcripts: glyceraldehyde-3-phosphate dehydrogenase (GAPDH), actinâ (ACTB) and ribosomal protein S9 (RPS9). Primers were designed using PRIMER3 software (http://www.genome.wi.mit.edu) and were synthesized commercially (Fisher Scientific, Tustin, CA, USA) as follows: 5' TCACCAGGGCTGCTTTTAACTC3' and 5'ATGACAAGCTTCCCGTTCTCAG3' for GAPDH: 5'AGGATGCAGAAGGAGATCACTG3' and 5'ATACTCCTGCTTGCTGATCCAC3' for ACTB: 5'GACCCTTCGAGAAATCTCGTCTC3' and 5'TCTCATCAAGCGTCAGCAGTTC3' for RPS9. The quantity of RNA was estimated using Ribogreen® RNA Quantitation Kit (Molecular Probes, Eugene, OR, USA).

[0084] Target cRNA preparation

[0085] Isolated RNA was subjected to linear amplification according to published method from our laboratory (Ohyama *et al.*, 2000). In brief, reverse transcription using T7-oligo-(dT)₂₄ as the primer was performed to synthesize the first strand cDNA. The first round of *in vitro* transcription (IVT) was carried out using T7 RNA polymerase (Ambion Inc., Austin, TX, USA). The BioArray™ High Yield RNA Transcript Labeling System (Enzo Life Sciences, Farmingdale, NY, USA) was used for the second round IVT to biotinylate the cRNA product; the labeled cRNA was purified using GeneChip® Sample Cleanup Module (Affymetrix, Santa Clara, CA, USA). The quantity and quality of cRNA were determined by spectrophotometry and gel electrophoresis. Small aliquots from each of the isolation and amplification steps were used to assess the quality by RT-PCR. The quality of the fragmented cRNA (prepared as described by Kelly, 2002) was assessed by capillary electrophoresis using the 2100 Bioanalyzer (Agilent Technologies, Palo Alto, CA, USA).

Attorney Docket No. 58027-014800

[0086] Gene expression profiling in cell free-saliva obtained from ten normal donors, wherein on average, 60.5 ± 13.1 ng (n=10) of total RNA was obtained from 560 µL cell-free saliva samples, is reported on Table 1.

[0087] <u>Table 1</u>.

Subject	Gender	Age	RNA (ng)a	cRNA (~tg)'~	Present Probesc	Probe ~%"
1	F	53	60.4	44.3	3172	14.24
2	M	42	51.6	40.8	2591	11.62
3	M	55	43.2	34.8	2385	10.70
4	M	42	48.2	38.0	2701	12.12
5	M	46	60.6	42.7	3644	16.35
6	M	48	64.8	41.8	2972	13.34
7	F	40	75.0	44.3	2815	12.63
8	M	33	77.8	49.3	4159	18.66
9	F	32	48.8	41.4	2711	12.17
10	F	32	79.8	44.4	4282	19.22
Mean±SD		42±8.3	60.5±13.12	42.2±3.94	3143±665.0	14.11±2.98

[0088] The total RNA quantity is the RNA in 560p.L cell-free saliva supernatant; the eRNA quantity is after two rounds of T7 amplification. Number of probes showing present call on HG U133A microarray (detection p<0.04). Present percentage (P%) = Number of probes assigned present call / Number of total probes (22,283 for HG U133A microarray).

[0089] RT-PCR results demonstrated all 10 saliva samples contain mRNAs that encode for house keeping genes: GAPDH, ACTB and RPS9. The mRNA of these genes could be preserved without significant degradation for more than 6 months at -80_°C (Fig. 1). After two rounds of T7 RNA linear amplification, the average yield of biotinylated cRNA was 42.2 ± 3.9 μg with A260/280=2.067 ± 0.082 (Table1). The cRNA ranged from 200 bp to 4 kb before fragmentation; and was concentrated to approximately 100bp after fragmentation. The quality of cRNA probe was confirmed by capillary electrophoresis before the hybridizations. ACTB mRNA was detectable using

Applicants: David WONG et al. Filed: February 21, 2004 Express Mail Label No. ER 829862851 US

Attorney Docket No. 58027-014800

PCR/RT-PCR on original sample and products from each amplification steps: first

cDNA, first in vitro transcription (IVT), second cDNA and second IVT (Fig. 2).

Example 2: Microarray Profiling of Salivary mRNA

[0090] HG-U1331A Microarray analysis

[0091] The Affymetrix Human Genome U133A Array, which contains 22,215 human

gene cDNA probe sets representing ~19,000 genes (i.e., each gene may be

represented by more than one probe sets), was applied for gene expression profiling.

The array data were normalized and analyzed using Microarray Suite (MAS) software

(Affymetrix). A detection p-value was obtained for each probe set. Any probe sets with p

< 0.04 was assigned "present", indicating the matching gene transcript is reliably

detected (Affymetrix, 2001). The total number of present probe sets on each array was

obtained and the present percentage (P%) of present genes was calculated. Functional

classification was performed on selected genes (present on all ten arrays, p < 0.01) by

using the Gene Ontology Mining Tool (www.netaffx.com).

[0092] Salivary mRNA profiles of ten normal subjects were obtained using HG

U133A array contains 22,283 cDNA probes. An average of 3,143 ± 665.0 probe sets (p

< 0.04) was found on each array (n=10) with assigned present calls. These probe sets

represent approximately 3,000 different mRNAs. The average present call percentage

was 14.11 ± 2.98% (n=10). A reference database which includes data from the ten

arrays was generated. The probe sets representing GAPDH, ACTB and RPS9 assigned

present calls on all 10 arrays. There were totally 207 probe sets representing 185 genes

assigned present calls on all 10 arrays with detection p < 0.01. These 10 genes were

categorized on the basis of their known roles in biological processes and molecular

functions. Biological processes and molecular functions of 185 genes in cell-free saliva

from ten normal donors (data obtained by using Gene Ontology Mining Tool) are

reported on table 2.

\LA-SRV01\219400v05\58027.014800

Express Mail Label No. ER 829862851 Attorney Docket No. 58027-014800

[0093] Table 2.

Biological process ^a	Genes ,nb	Molecular function ^a	Genes,nb	
Cell growth and/or maintenance	119	Binding	118	
Metabolism	93	Nucleic acid binding	89	
Biosynthesis	70	RNA binding	73	
Protein metabolism	76	Calcium ion binding	12	
Nucleotide metabolism	10	Other binding	23	
Other metabolisms	18	•		
Cell organization and biogenesis	2	Structural molecule	95	
Homeostasis	3	Ribosomal constituent	73	
Cell cycle	5	Cytoskeleton constituent	17	
Cell proliferation	11	Muscle constituent	2	
Transport	5			
Cell motility	8	Obsolete	15	
	,	Transporter	4	
Cell communication	34	Enzyme	20	
Response to external stimulus	19	Signal transduction	10	
Cell adhesion	3	Transcription regulator	7	
Cell-cell signaling	5	Translation regulator	5	
Signal transduction	17	Enzyme regulator	9	
-		Cell adhesion molecule	1	
Obsolete	8			
Development	18	Molecular function unknown	6	
Death	2			
Biological process unknown	11			

One gene may have multiple molecular functions or participate in different biological processes. Number of genes classified into a certain group/subgroup. The major functions of the 185 genes are related to cell growth/maintenance (119 genes), molecular binding (118 genes) and cellular structure composition (95 genes). We termed these as "Normal Salivary Core Transcriptome (NSCT)".

Example 3: Q-PCR Validation and Quantitation Analysis

[0095] Quantitative gene expression analysis by Q-PCR

[0096] Q-PCR was performed using iCyclerTM thermal Cycler (Bio-Rad, Hercules, CA, USA). A 2 µL aliquot of the isolated salivary RNA (without amplification) was

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US

Attorney Docket No. 58027-014800

reverse transcribed into cDNA using MuLV Reverse Transcriptase (Applied Biosystems, Foster City, CA, USA). The resulting cDNA (3 µL) was used for PCR amplification using iQ SYBR Green Supermix (Bio-Rad, Hercules, CA, USA). The primers were synthesized Sigma-Genosys (Woodlands, TX. USA) by as follows: GTGCTGAATGTGGACTCAATCC3 5' ACCCTAAGGCAGGCAGTTG3' and 1. (IL1B): 5' CCTGCGAAGAGCGAAACCTG 3' 5' interleukin beta TCAATACTGGACAGCACCCTCC 3' for stratifin (SFN); 5' AGCGTGCCTTTGTTCACTG 3' and 5' CACACCAACCTCCTCATAATCC 3' for tubulin, alpha, ubiquitous (K-ALPHA-1). All reactions were performed in triplicate with conditions customized for the specific PCR products. The initial amount of cDNA of a particular template was extrapolated from a standard curve using the LightCycler software 3.0 (Bio-Rad, Hercules, CA, USA). The detailed procedure for quantification by standard curve has been previously described (Ginzinger, 2002).

[0097] Real time quantitative PCR (Q-PCR) was used to validate the presence of human mRNA in saliva by quantifying selected genes from the 185 "Normal Salivary Core Transcriptome" genes. We randomly selected IL1B, SFN and K-ALPHA-1, which were assigned present calls on all 10 arrays, for validation. Q-PCR results showed that mRNA of IL1B, SFN and K-ALPHA-1 were detectable in all 10 original, unamplified, cell-free saliva. The relative amounts (in copy number) of these transcripts (n=10) are: 8.68 x $103 \pm 4.15 \times 103$ for IL1B; $1.29 \times 105 \pm 1.08 \times 105$ for SFN; and $4.71 \times 106 \pm 8.37 \times 105$ for K-ALPHA-1. The relative RNA expression levels of these genes measured by Q-PCR were similar to those measured by the microarrays (data not shown).

Example 4: mRNA Isolation from the Fluid Phase of Saliva

[0098] Patients selection

[0099] Patients were recruited from the Division of Head and Neck Surgery at the University of California, Los Angeles (UCLA) Medical Center, Los Angeles, CA; the University of Southern California (USC) Medical Center, Los Angeles, CA; and the

Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

University of California San Francisco (UCSF) Medical Center, San Francisco, CA, over

a 6 -month period. Thirty-two patients with documented primary T1 or T2 squamous

cell carcinoma of the oral cavity (OC) or oropharynx (OP) were included in this study.

All patients had recently been diagnosed with primary disease, and had not received

any prior treatment in the form of chemotherapy, radiotherapy, surgery, or alternative

remedies. An equal number of age and sex matched subjects with comparable

smoking histories were selected as a control comparison group. Among the two subject

groups, there were no significant differences in terms of mean age (standard deviation,

SD): OSCC patients, 49.3 (7.5) years; normal subjects, 48.8 (5.7) years (Student's t test

P > 0.80); gender (Student's t test P > 0.90); or smoking history (Student's t test P > 0.80)

0.75). No subjects had a history of prior malignancy, immunodeficiency, autoimmune

disorders, hepatitis, or HIV infection. Each of the individuals in the control group

underwent a physical examination by a head and neck surgeon, to ensure that no

suspicious mucosal lesion was present.

[00100] Saliva And Serum Collection And Processing

[00101] Informed consent had been given by all patients. Saliva and serum

procurement procedures were approved by the institutional review board at each

institution: the University of California, Los Angeles (UCLA); the University of Southern

California (USC); and the University of California San Francisco (UCSF).

[00102] Saliva from 32 patients with OC or OP SCCA, and 32 unaffected age- and

gender-matched control subjects were obtained for a prospective comparison of

cytokine concentration.

[00103] The subjects were required to abstain from eating, drinking, smoking, or using

oral hygiene products for at least one hour prior to saliva collection. Saliva collection

was performed using the "draining (drooling)" method of Navazesh and Christensen,[7]

for a total donation of 5 cc saliva. Saliva samples were subjected to centrifugation at

3500 rpm (2600xg) for 15 minutes at 4°C by a Sorvall RT6000D centrifuge (DuPont.

Wilmington, DE). The fluid-phase was then removed, and RNAse (Superase-In, RNAse

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

Inhibitor, Ambion Inc., Austin, TX) and protease (Aprotinin, Sigma, St. Louis, MO;

Phenylmethylsulfonylfluoride, Sigma, St. Louis, MO; Sodium Orthovanadate, Sigma, St.

Louis, MO) inhibitors were then added promptly on ice. The conditions for the

separation of the cellular and fluid phases of saliva were optimized to ensure no

mechanical rupture of cellular elements which would contribute to the mRNA detected in

the fluid phase. All samples were subsequently treated with DNAse (DNAsel-DNA-free,

Ambion Inc., Austin, TX). The cell pellet was retained and stored at -80°C.

[00104] Serum from 19 patients with OC or OP SCCA, and 32 unaffected age- and

gender-matched control subjects were obtained for a prospective comparison of

cytokine concentration. Blood was drawn from control subjects and patients prior to

treatment. Sera were collected by centrifuging whole blood at 3000 rpm (1000xg) for 10

minutes at 15°C by a Sorvall RT6000D centrifuge (DuPont, Wilmington, DE). Serum

was then separated, and RNAse (Superase-In, RNAse Inhibitor, Ambion Inc., Austin,

TX) and protease (Aprotinin, Sigma, St. Louis, MO; Phenylmethylsulfonylfluoride,

Sigma, St. Louis, MO; Sodium Orthovanadate, Sigma, St. Louis, MO) inhibitors were

then added promptly on ice. All samples were subsequently treated with DNAse

(DNAsel-DNA-free, Ambion Inc., Austin, TX). The aliquots were stored at -80°C until

further use.

[00105] RNA Isolation

[00106] 560 µL of saliva supernatant were then processed using the QIAamp Viral

RNA mini kit (QIAGEN, Chatsworth, CA) kit. RNA was extracted according to the

manufacturer's instructions. Samples were air-dried and resuspended in water treated

with diethyl pyrocarbonate and were kept on ice for immediate usage or stored at -

80°C. Aliquots of RNA were treated with RNAse-free DNAse (DNAsel-DNA-free,

Ambion Inc., Austin, TX) according to the manufacturer's instructions. Concentrations

of RNA were determined spectrophotometrically, and the integrity was checked by

electrophoresis in agarose gels containing formaldehyde.

[00107] RT-PCR

\\LA-SRV01\219400v05\58027.014800

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US

Attorney Docket No. 58027-014800

[00108] RNA from each sample was reverse-transcribed in 40 µL of reaction mixture containing 2.5 U of Moloney murine leukemia virus reverse transcriptase (Applied Biosystems Inc.(ABI, Foster City, CA) and 50 pmol of random hexanucleotides (ABI, Foster City, CA) at 42°C for 45 minutes. Based on the published sequences, oligonucletide primers were synthesized commercially at Fisher Scientific (Tustin, CA) for PCR follows: 5'AGGATGCAGAAGGAGATCACTG as 3'and 5'ATACTCCTGCTTGCTGATCCAC 3' for -actin; and 5'GAGGGTTGTGGAGAAGTTTTTG 3' and 5'CTGGCATCTTCACTGATTCTTG 3' for IL-8; and 5' CTGGCAGAAAACAACCTGAAC 3' and 5'ATGATTTTCACCAGGCAAGTC 3' for IL-6. Amplification of the complementary DNA (cDNA) was carried out using 50 cycles at 95°C for 20 seconds, 60°C for 30 seconds, and 72°C for 30 seconds; followed by a final extension cycle of 72°C for 7 minutes. Specificity of the PCR products was verified by the predicted size and by restriction digestion. To establish the specificity of the responses, negative controls were used in which input RNA was omitted or in which RNA was used but reverse transcriptase omitted. As a positive control, mRNA was extracted from total salivary gland RNA (Human Salivary Gland Total RNA, Clontech, Palo Alto, CA). To ensure RNA quality, all preparations were subjected to analysis of expression.

[00109]

[00110] To our knowledge, there have been no reports about the isolation of messenger RNA (mRNA) from the fluid phase of saliva. By using reverse transcriptasepolymerase chain reaction (RT-PCR), we tested the hypothesis that IL-6 and IL-8 mRNA transcripts are present in the fluid phase in saliva. The RT-PCR studies showed that saliva and serum contained mRNA encoding for IL-6 and IL-8. The PCR products had the sizes (95 bp and 88 bp, respectively) that were expected from the selected primers (Figure 3). The same-sized products were expressed in the positive control.

[00111] In order to ensure that the RNA and protein analyzed were from the fluid phase of saliva only (and to ensure the lack of contamination by intracellular

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

components), we optimized the centrifugation speed for the saliva and serum samples.

We utilized genomic DNA as a marker of cell lysis and spillage of intracellular

components. We performed PCR for the housekeeping genes

-actin and ubiquitin on

whole saliva and serum samples, and samples that had been centrifuged at various

speeds. We were able to determine the optimal centrifugation speeds at which there

was no spillage of intracellular contents (Figure 4).

Example 5: Elevated IL-8 Cytokine Levels in Saliva from Patients with OSCC

Real Time PCR for Quantification of IL-6 and IL-8 mRNA Concentrations in Saliva and

Serum from Patients and Normal Subjects

[00112] To analyze quantitatively the result of RT-PCR, we used quantitative real-time

PCR (Bio-Rad iCycler, Thermal Cycler, Bio-Rad Laboratories, Hercules, CA). Each

sample was tested in triplicate. The amplification reactions were carried out in a 20 µL

mixture, using iQ SYBR Green Supermix (Bio-Rad Laboratories, Hercules, CA). After

initial denaturation at 95°C for 3 minutes, 50 PCR cycles were performed at 60°C for 20

seconds, then 20 seconds at 72°C, then 20 seconds at 83°C, followed by 1 minute at

95°C, then followed by a final 1 minute extension at 55°C. Aliquots were taken from

each well and checked by electrophoresis in agarose gels in order to ensure the

specificity of the products.

ELISA for Quantification of IL-6 and IL-8 Protein Concentrations in Saliva and Serum

from Patients and Normal Subjects

[00113] ELISA kits for IL-6 and IL-8 were used (Pierce Endogen, Rockford, IL)

according to the manufacturer's protocol. Each sample was tested in duplicate in each

of two replicate experiments. After development of the colorimetric reaction, the

absorbance at 450 nm was quantitated by an eight channel spectrophotometer (EL800

Universal Microplate Reader, BIO-TEK Instruments Inc., Winooski, VT), and the

absorbance readings were converted to pg/ml based upon standard curves obtained

with recombinant cytokine in each assay. If the absorbance readings exceeded the

\\LA-SRV01\219400v05\58027.014800

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

linear range of the standard curves, ELISA assay was repeated after serial dilution of the supernatants. Each sample was tested in at least two ELISA experiments, and the data were calculated from the mean of tests for each sample.

[00114]

[00115] On demonstrating that IL-6 and IL-8 mRNA transcripts were present in the fluid phase in saliva, we prospectively examined and compared the levels of IL-6 and IL-8 in the saliva of unaffected subjects and patients with OSCC using quantitative real time PCR (qRT-PCR) and ELISA. Saliva from 32 patients with OSCC, and 32 age- and gender-matched control subjects were obtained. Among the subject groups, there were no significant differences in terms of age, gender, alcohol consumption, or smoking history (P> 0.75). **Figure 5 A, B** shows that IL-8 at both the mRNA and protein levels, was detected in higher concentrations in the saliva of patients with OSCC when compared with control subjects (t test, P< 0.01). There was a significant difference in the amount of IL-8 mRNA expression between saliva from OSCC patients and disease-free controls. The mean copy number was 1.1 x 10⁸ for the OSCC group, and 2.6 x 10⁶ for the control group. The difference between the two groups was highly statistically significant (P<0.0008).

[00116] Our ELISA findings are illustrated in **Figure 5B**. The levels of IL-8 in the saliva of OSCC patients were significantly higher (720 pg/dL) than those in the saliva of the control group (250 pg/dL) (*P*<0.0001). To ensure that the elevated levels of IL-8 protein in saliva were not due to an elevation of total protein levels in the saliva of OSCC patients, we compared the total protein concentrations in saliva among the two groups. No significant differences were found (*P*> 0.05). When we compared the IL-6 levels between the two groups, we did not find significant differences in the salivary concentration at either the mRNA or the protein level. Within the sample size studies, we were unable to detect differences between smoking and nonsmoking subjects (data not shown).

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

[00117] Example 6: Elevated IL-6 Cytokine Levels in Serum from Patients with

OSCC

[00118] We also examined and compared the levels of IL-6 and IL-8 in the serum of

unaffected subjects and patients with OSCC using qRT- PCR and ELISA. Serum from

19 patients with OSCC, and 32 age- and gender-matched control subjects were

prospectively obtained. Among the subject groups, there were no significant differences

in terms of age, gender, alcohol consumption, or smoking history (P > 0.75). Figure

6A, B shows that IL-6 at both the mRNA and protein levels, was detected in higher

concentrations in the serum of patients with OSCC when compared with control

subjects (t test, P < 0.001). We noted a significant difference in the amount of IL-6

mRNA expression between serum from OSCC patients and disease-free controls. The

mean copy number was 5.2×10^4 for the OSCC group, and 3.3×10^3 for the control

group.

[00119] The difference between the two groups was highly statistically significant

(P<0.0004). Our ELISA findings are illustrated in Figure 6B. The mean levels of IL-6 in

the serum of OSCC patients were significantly higher (87 pg/dL) than those in the

serum of the control group (0 pg/dL) (P<0.0001). When we compared the IL-8 levels

between the two groups, we did not find significant differences in the serum

concentration at either the mRNA or the protein level. Within the sample size studies,

we were unable to detect differences between smoking and nonsmoking subjects (data

not shown).

Example 7: ROC and Sensitivity/specificity Analysis

Statistical analysis of our data demonstrates the specificity and sensitivity of these

biomarkers for HNSCC, and their predictive value.

Statistical Analysis

\\LA-SRV01\219400v05\58027.014800

Filed: February 21, 2004

Express Mail Label No. ER 829862851 US

Attorney Docket No. 58027-014800

[00120] The distributions of patient demographics were calculated overall and

separately for OSCC cases and controls, and were compared between the two arms

with either the Student's *t*-test for continuous measures or two-by-two Chi-square tables

for categorical measures. The distributions of IL-6 and IL-8 levels in saliva and serum

were computed and compared between the OSCC cases and controls using two

independent group t-tests. Differences were considered significant for P values less

than 0.01. Due to the range of the IL-6 and IL-8 levels, log transformations of these

measures were also used in the analyses. Data were expressed as the mean \pm SD.

Age, gender, and smoking history were controlled at the group level in the experimental

design; these patient factors were also adjusted in the analyses when comparing IL-6

and IL-8 through regression modeling.

[00121] Using the binary outcome of the disease (OSCC cases) and non-disease

(controls) as dependent variables, logistic regression models were fitted to estimate the

probability of developing OSCC as a function of each of the potential biomarkers (IL-6

or IL-8), controlling for patient age, gender, and smoking history. Using the fitted logistic

models, receiver operating characteristic (ROC) curve analyses were conducted to

evaluate the predictive power of each of the biomarkers[8][9][10]. Through the ROC

analyses, we calculated sensitivities and specificities by varying the criterion of positivity

from the least (cut at probability of 0) to the most stringent (cut at probability of 1). The

optimal sensitivity and specificity was determined for each of the biomarkers, and the

corresponding cutoff/threshold value of each of the biomarkers was identified. The

biomarker that has the largest area under the ROC curve was identified as having the

strongest predictive power for detecting OSCC.

Clinical Data

[00122] The mean (SD) age of the patients with OSCC was 49.3 (7.5) years (range,

42-67 years) vs. 48.8 (5.7) years (range, 40-65 years) in the control group; (Student's t

test P > 0.80). Among the two subject groups, there were no significant differences in

terms of age (mean age): OSCC patients, 49.3 years; normal subjects, 48.8 years

\LA-SRV01\219400v05\58027.014800

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

(Student's t test P > 0.80); gender (Student's t test P > 0.90); or smoking history (Student's t test P > 0.75).

[00123]

[00124] ROC (Receiver Operating Characteristic) curves, plots of sensitivities versus 1-specificities, were generated for each of the potential biomarkers. Age, gender, and smoking history were controlled as described above. The areas under the ROC curves were calculated, as measures of the utility of each biomarker for detecting OSCC.

[00125] The calculated ROC values (for predicting OSCC) were 0.978 for IL-8 in saliva; and 0.824 for IL-6 in serum. Based on the distribution of sensitivities and specificities, thresholds of biomarkers were chosen for detecting OSCC. Based upon our data, for IL-8 in saliva, a threshold value of 600 pg/dL yields a sensitivity of 86% and a specificity of 97%.

[00126] Similarly, for IL-6 in serum, a threshold value of greater than 0 pg/dL yields a sensitivity of 64% and a specificity of 81%. Figure 7A and Figure 7B are the ROC curves for IL-8 in saliva and IL-6 in serum, respectively. The detailed statistics of the area under the ROC curves, the threshold values, and the corresponding sensitivities and specificities for each of the potential biomarkers in saliva and in serum are listed in Table 3.

[00127] The detailed statistics of the area under the ROC curves, the threshold values, and the corresponding sensitivities and specificities for each of the potential biomarkers in saliva and in serum are listed in table 3 below.

Table 3:

Biomarker	Area under ROC	Threshold/Cutoff	Sensitivity	Specificity
IL-8 saliva protein	0.978	600 pg/mL	86%	97%
IL-6 serum protein	0.824	> 0 pg/mL	57%	100%

Filed: February 21, 2004 Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

IL-8 saliva protein & IL-6 serum protein

0.994

> 600 pg/ml > 0 p/ml

99%

90%

[00128] The disclosures of each and every publication and reference cited herein are hereby incorporated herein by reference in their entirety.

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

REFERENCES

[1] Parkin M, Pisani P, Ferlay J. Estimates of the worldwide incidence of 25 major

cancers in 1990. Int J Cancer. 1999;80:827-841.

[2] Goepfert H. Squamous cell carcinoma of the head and neck: past progress and

future promise. CA Cancer J Clin. 1998;48:195-198.

[3] Sidransky D. Emerging molecular markers of cancer. Nat Reviews. 2002; 3:210-

219.

[4] Alevizos I, Mahadevappa M, Zhang X, et al. Oral cancer in vivo gene expression

profiling assisted by laser-capture microdissection and microarray analysis. Oncogene.

2001; 20:6196-6204.

[5] Chen Z, Malhotra PS, Thomas GR, et al. Expression of proinflammatory and

proangiogenic cytokines in patients with head and neck cancer. Clin Cancer Res.

1999;5:1369-1379.

[6] Sidransky D. Nucleic acid-based methods for the detection of cancer. Science.

1997; 278: 1054-1058.

[7] Navazesh M, Christensen CA. A comparison of whole mouth resting and stimulated

salivary measurements. J. Dent. Res. 1982; 61:1158-1162.

[8] Hanley JA, McNeil BJ. The meaning and use of the area under a receiver operating

characteristic (ROC) curve. Radiology. 1982; 143:29-36.

[9] Hanley JA, McNeil BJ. A method of comparing the areas under receiver operating

characteristic curves derived from the same cases. Radiology. 1983; 148:839-843.

\\LA-\$RV01\219400v05\\$8027.014800

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

[10] Liu HH, Wu TT. Estimating the area under a receiver operating characteristic (ROC) curve for repeated measures design. *Journal of Statistical Software*. 2003; 8:1-

18.

[11] Norton JA, Peacock JL, Morrison SD. Cancer cachexia. Crit Rev Oncol Hematol.

1987;7:289-327.

[12] Smith DR, Polverini PJ, Kunkel SL, et al. Inhibition of interleukin 8 attenuates

angiogenesis in bronchogenic carcinoma. J Exp Med. 1994;179:1409-1415.

[13] Dong G, Loukinova E, Smith CW, Chen Z, and Van Waes C. Genes differentially

expressed with malignant transformation and metastatic tumor progression of murine

squamous cell carcinoma. J Cell Biochem Suppl. 1997;28/29:90-100.

[14] Pak AS, Wright MA, Matthews JP, et al. Mechanisms of immune suppression in

patients with head and neck cancer: presence of CD34+ cells which suppress immune

functions within cancers that secrete granulocyte-macrophage colony-stimulating factor.

Clin Cancer Res. 1995;1:95-103.

[15] Ueda T, Shimada E, Urakawa T. Serum levels of cytokines in patients with

colorectal cancer: possible involvement of interleukin-6 and interleukin-8 in

hematogenous metastasis. J Gastroenterol. 1994;29:423-429.

[16] Oka M, Yamamoto K, Takahashi M, et al. Relationship between serum levels of

interleukin 6, various disease parameters, and malnutrition in patients with esophageal

squamous cell carcinoma. Cancer Res. 1996;56:2776-2780.

[17] Wang PL, Ohura K, Fujii T, Oido-Mori M, Kowashi Y, Kikuchi M, Suetsugu Y,

Tanaka J. DNA microarray analysis of human gingival fibroblasts from healthy and

inflammatory gingival tissues. Biochem Biophys Res Commun. 2003; 305:970-973.

[18] Giannopoulou C, Kamma JJ, Mombelli A. Effect of inflammation, smoking and

stress on gingival crevicular fluid cytokine level. J Clin Periodontol. 2003; 30:145-153.

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

[19] Sullivan Pepe M, Etzioni R, Feng Z, et al. Phases of biomarker development for

early detection of cancer. J National Can Inst. 2001;93:1054-1061.

[20] van Houten VM, Tabor MP, van den Brekel MW, et al. Molecular assays for the

diagnosis of minimal residual head-and-neck cancer: methods, reliability, pitfalls, and

solutions. Clin Cancer Res. 2000;6:3803-3816.

[21] Thompson ML, Zucchini W. On the statistical analysis of ROC curves. Stat Med.

1989; 8:1277-1290.

[22] Hoffman HT, Karnell LH, Funk GF, Robinson RA, Menck HR. The national cancer

data base report on cancer of the head and neck. Arch Otolaryngol Head Neck Surg.

1998;124:951-962.

[23] Khuri FR, Shin DM, Glisson BS, Lippman SM, Hong WK. Treatment of patients

with recurrent or metastatic squamous cell carcinoma of the head and neck: current

status and future directions. Semin Oncol. 2000;27:25-33.

[24] Spafford MF, Koch WM, Reed AL, et al. Detection of head and neck squamous

cell carcinoma among exfoliated oral cells by microsatellite analysis. Clin Cancer Res.

2001;7:607-612.

[25] Bradford CR. Genetic markers of head and neck cancer: identifying new molecular

targets. Arch Otolaryngol Head Neck Surg. 2003;129:366-367.

[26] Koch WM. Genetic markers in the clinical care of head and neck cancer: slow in

coming. Arch Otolaryngol Head Neck Surg. 2003;129:367-368.

[27] Affymetrix, (2001). Affymetrix Technical Note: New Statistical Algorithms for

Monitoring Gene Expression on GeneChip® Probe Arrays. Santa Clara, CA: Affymetrix.

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

[28] Anker P, Mulcahy H, Chen XQ, Stroun M (1999). Detection of circulating tumor DNA in the blood (plasma/serum) of cancer patients. *Cancer Metastasis Rev* 18(1):65-

73.

[29] Anker P, Mulcahy H, Stroun M (2003). Circulating nucleic acids in plasma and

serum as a noninvasive investigation for cancer: time for large-scale clinical studies? Int

J Cancer 103(2): 149-152.

[30] Bonassi 5, Neri M, Puntoni R (2001). Validation of biomarkers as early predictors of

disease. Mutat Res 480-481: 349-358.

[30] El-Naggar AK, Mao L, Staerkel G, Coombes MM, Tucker SL, Luna MA, et al.

(2001). Genetic heterogeneity in saliva from patients with oral squamous carcinomas:

implications in molecular diagnosis and screening. J Mol Diagn 3(4): 164-170.

[31] Fleischhacker M, Beinert T, Ermitsch M, Seferi D, Possinger K, Engelmann C, et al

(2001). Detection of amplifiable messenger RNA in the serum of patients with lung

cancer. Ann NY Acad Sci 945: i79-188.

[32]Ginzinger D (2002). Gene quantification using real-time quantitative PCR: an

emerging technology hits the mainstream. Exp Hemato 30: 503—5 12.

[33] Kelly JJ, Chernov BK, Tovstanovsky I, Mirzabekov AD, Bavykin SG (2002).

Radical-generating coordination complexes as tools for rapid and effective

fragmentation and fluorescent labeling of nucleic acids for microchip hybridization. Anal

Biochem 311(2): 103-118.

[34] Kopreski MS, Benko FA, Kwak LW, Gocke CD (1999). Detection of tumor

messenger RNA in the serum of patients with malignant melanoma. Clin Cancer Res

5:1961—1965.

[35] Lawrence HP (2002). Salivary markers of systemic disease: noninvasive diagnosis

of disease and monitoring of general health. J Can Dent Assoc 68(3):170-174.

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

[36] Liao PH, Chang YC, Huang MF, Tai KW, Chou MY (2000). Mutation of p53 gene codon 63 in saliva as a molecular marker for oral squamous cell carcinomas. *Oral Oncol*

36(3):272-276.

[37] Mercer DK, Scott KP, Melville CM, Glover LA, Flint HJ (2001). Transformation of an

oral bacterium via chromosomal integration of free DNA in the presence of human

saliva. FEMS Microbiol Lett 200(2): 163-167.

[38] Navazesh M (1993). Methods for collecting saliva. Ann N Y Acad Sci 694:72-77.

[39] Ohyama H, Zhang X, Kohno Y, Alevizos I, Posner M, Wong DT, et al (2000). Laser

capture microdissection-generated target sample for high-density oligonucleotide array

hybridization. Biotechniques 29(3): 530-536.

[40] Pusch W, Flocco MT. Leung SM, Thiele H, Kostrzewa M (2003). Mass

spectrometry-based clinical proteomics. *Pharmacogenomics* 4(4):463-476.

[41] Rehak NN, Cecco SA, Csako G (2000). Biochemical composition and electrolyte

balance of "unstimulated" whole human saliva. Clin Chem Lab Med 3 8(4):33 5-343.

[42] Rieger-Christ KM, Mourtzinos A, Lee PJ, Zagha RM, Cain J, Silverman M, et al

(2003). Identification of fibroblast growth factor receptor 3 mutations in urine sediment

DNA samples complements cytology in bladder tumor detection. Cancer 98(4):737-744.

[43] Sakki T, Knuuttila M (1996). Controlled study of the association of smoking with

lactobacilli, mutans streptococci and yeasts in saliva. Eur J Oral Sci 104(5-6):619-622.

[44] Sidransky D (1997). Nucleic acid-based methods for the detection of cancer.

Science 278: 1054- 1058.

[45] Sidransky D (2002). Emerging molecular markers of cancer. Nat Reviews 3:210-

219.

Title: RNA extraction method and biomarkers for oral cavity and oropharyngeal cancer Applicants: David WONG et al.

Filed: February 21, 2004 Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

- [46] Stamey FR, DeLeon-Carnes M, Patel MM, Pellett PE, Dollard SC (2003). Comparison of a microtiter plate system to Southern blot for detection of human herpesvirus 8 DNA amplified from blood and saliva. *J Virol Methods* 108(2):189-193.
- [47] Streckfus CF, Bigler LR (2002). Saliva as a diagnostic fluid. Oral Dis 8(2):69-76.
- [48] Venter JC, Adams MD, Myers EW, Li PW, Mural U, Sutton GG *et al* (2001). The sequence of the human genome (published *erratum* appears in *Science* 292(5 523): 1838). *Science* 291(5507): 1304-135 1.
- [49] Wong U, Lueth M, Li XN, Lau CC, Vogel H (2003). Detection of mitochondrial DNA mutations in the tumor and cerebrospinal fluid of medulloblastoma patients. *Cancer Res* 63(14):3866-3871.
- [50] Xiang CC, Chen M, Ma L, Phan QN, Inman JM, Kozhich OA, *et al* (2003). A new strategy to amplify degraded RNA from small tissue samples for microarray studies. *Nucleic Acids Res* 31(9):e53

Applicants: David WONG et al. Filed: February 21, 2004 Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

Claims

1. A method to isolate mRNA from saliva comprising:

providing a cell-free saliva supernatant; and

isolating mRNA from the cell free saliva supernatant.

2. The method of claim 1, wherein providing a cell-free saliva supernatant comprises:

providing unstimulated saliva; and

deriving the cell-free saliva supernatant from the unstimulated saliva.

3. A method to perform transcriptome analysis of saliva comprising

providing cell-free saliva supernatant; and

detecting a transcriptome pattern in the saliva supernatant.

4. The method of claim 3, wherein detecting a transcriptome pattern in the saliva

supernatant is performed by microarray assay.

5. The method of claim 4, wherein detecting a transcriptome pattern in the saliva

supernatant is performed by high-density oligonucleotide microarray assay.

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

6. The method of claim 3, wherein detecting a transcriptome pattern in the saliva

supernatant is performed by quantitative PCR analysis or RT-PCR analysis.

7. A method to detect genetic alterations in an organ by analyzing a bodily fluid draining

from the organ, the bodily fluid being saliva, the method comprising:

providing cell-free saliva supernatant;

detecting a transcriptome pattern in the saliva supernatant; and

comparing the transcriptome pattern with a predetermined pattern, the

predetermined pattern being indicative of a common pattern transcriptome of normal

cell-free saliva.

8. A method to detect genetic alteration of a gene in an organ by analyzing a bodily fluid

draining from the organ, the bodily fluid being saliva, the method comprising:

providing cell-free saliva supernatant;

detecting an mRNA profile of the gene in the saliva supernatant; and

comparing the mRNA profile of the gene with a predetermined mRNA profile of

the gene, the predetermined mRNA profile of the gene being indicative of the mRNA

profile of the gene in normal cell-free saliva.

9. A method to diagnose an oral or systemic disease in a subject, the method

comprising:

providing cell-free saliva supernatant of the subject;

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

detecting in the cell-free saliva supernatant an mRNA profile of a gene

associated with the disease; and

comparing the RNA profile of the gene with a predetermined mRNA profile of the

gene, the predetermined mRNA profile of the gene being indicative of the presence of

the disease in the subject.

10. The method of claim 9, wherein the disease is a cancer of the oral cavity and/or of

oropharynx and the gene is selected from the group consisting of the gene coding for

IL8, IL1B, DUSP1, HA3, OAZ1, S100P and SAT.

11. The method of claim 9 or 10, wherein the disease is a cancer of the oral cavity

and/or oropharynx and the gene is the gene coding for IL8.

12. The method of any one of claims 9 to 11, wherein the disease is oropharyngeal

Squamous cell carcinoma, head and neck squamous cell carcinoma and/or breast

cancer.

13. The method of any one of claims 9 to 11, wherein the disease is diabetes.

14. A method to diagnose an oral or systemic disease in a subject, the method

comprising:

providing cell-free saliva supernatant of the subject;

\\LA-SRV01\219400v05\58027.014800

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US

Attorney Docket No. 58027-014800

detecting in the cell-free saliva supernatant a transcriptome pattern associated

with the disease; and

comparing the transcriptome pattern with a predetermined pattern,

recognition in the transcriptome pattern of characteristics of the predetermined pattern

being diagnostic for the disease in the subject.

15. The method of claim 14, wherein the disease is a cancer of the oral cavity and/or of

oropharynx and transcriptome includes transcripts is selected from the group consisting

of transcripts for IL8, IL1B, DUSP1, HA3, OAZ1, S100P and SAT.

16. The method of claim 14, wherein disease is oropharyngeal Squamous cell

carcinoma or head and neck squamous cell carcinoma.

17. The method of claim 14, wherein the disease is oropharyngeal Squamous cell

carcinoma, head and neck squamous cell carcinoma or breast cancer.

18. The method of claim 14, wherein the disease is diabetes.

19. A method for diagnosing a cancer in a subject, the method comprising:

providing a bodily fluid of the subject;

detecting in the bodily fluid a profile of a biomarker, the biomarker selected from

the group consisting of IL6, IL8 IL1B, DUSP1, HA3, OAZ1, S100P and SAT,

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US

Attorney Docket No. 58027-014800

comparing the profile of the biomarker with a predetermined profile of the

biomarker,

recognition in the profile of the biomarker of characteristics of the predetermined profile

of the biomarker being diagnostic for the cancer.

20. The method of claim 19, wherein the biomarker is selected from the group

consisting of IL8, IL1B, DUSP1, HA3, OAZ1, S100P and SAT, the bodily fluid is saliva

and detecting a profile of a biomarker is performed by detecting the mRNA profile of the

biomarker.

21. The method of claim 19, wherein the biomarker is IL6, the bodily fluid is blood serum

and detecting a profile of a biomarker is performed by detecting the mRNA profile of the

biomarker.

22. The method of claim 19, wherein the biomarker is IL6, the bodily fluid is blood serum

and detecting a profile of a biomarker is performed by detecting the protein profile of the

biomarker.

23. The method of claim 19, wherein the disease is diabetes breast cancer

oropharyngeal Squamous cell carcinoma and/or head and neck squamous cell

carcinoma.

24. A kit for the diagnosis of an oral and/or systemic disease, the kit comprising:

a identifyer of a biomarker in a bodily fluid, the biomarker selected from the group

consisting of IL8, IL1B, DUSP1, HA3, OAZ1, S100P and SAT; and

a detector for the identifier,

the identifier and the detector to be used in detecting the bodily fluid profile of the

biomarker of the method of any one of claims 14 to 17 or 18 to 21, wherein

\\LA-SRV01\219400v05\58027.014800

Applicants: David WONG et al. Filed: February 21, 2004

Express Mail Label No. ER 829862851 US Attorney Docket No. 58027-014800

the identifier is associated to the biomarker in the bodily fluid, and the detector is used

to detect the identifier, the identifier and the detector thereby enabling the detection of

the bodily fluid profile of the biomarker.

25. The method of claim 24, wherein the disease is oral cavity and oropharyngeal

squamous cell carcinoma.

26. The method of claim 24, wherein the disease is head and neck squamous cell

carcinoma.

27. The method of claim 24, wherein the disease is breast cancer.

28. The method of claim 24, wherein the disease is diabetes.

29. The method of claim 24, wherein the disease is HIV.

30. A method comprising:

using salivary mRNAs as biomarkers for oral and/or systemic diseases

31. The method of claim 30, wherein the mRNA codifies for IL8, IL1B, DUSP1, HA3,

OAZ1, S100P and SAT.

32. The method of claim 23, wherein the disease is oral cavity and oropharyngeal

squamous cell carcinoma.

33. The method of claim 23, wherein the disease is head and neck squamous cell

carcinoma.

34. Methods and kits as herein disclosed claimed and exemplified.

\\LA-SRV01\219400v05\58027.014800

This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

Title: RNA extraction method and biomarkers for oral cavity and oropharyngeal cancer
Applicants: David WONG et al.
Filed: February 21, 2004
Express Mail Label No. ER 829862851 US
Attorney Docket No. 58027-014800

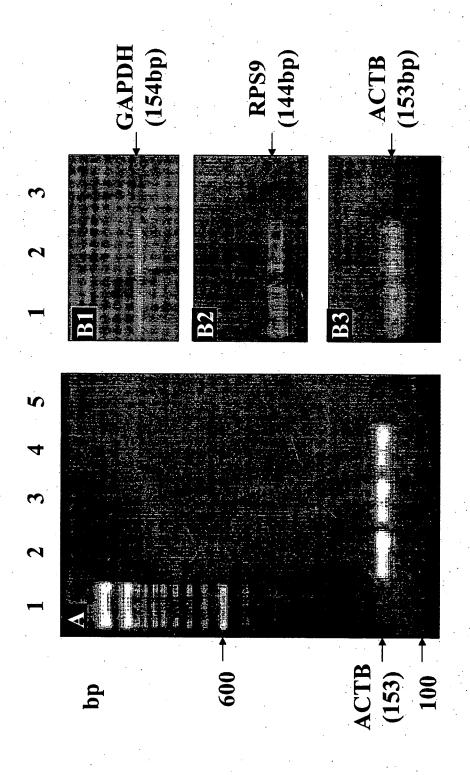


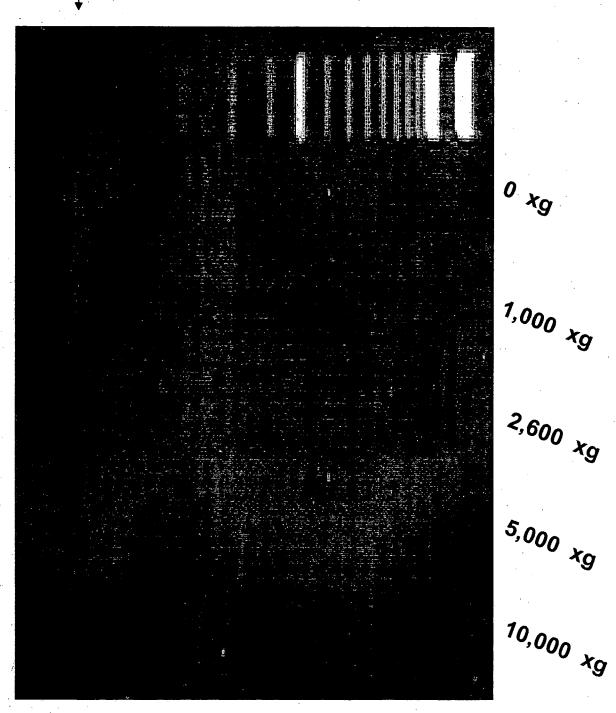
Figure 2

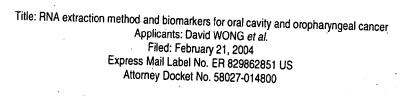
\beta-Actin

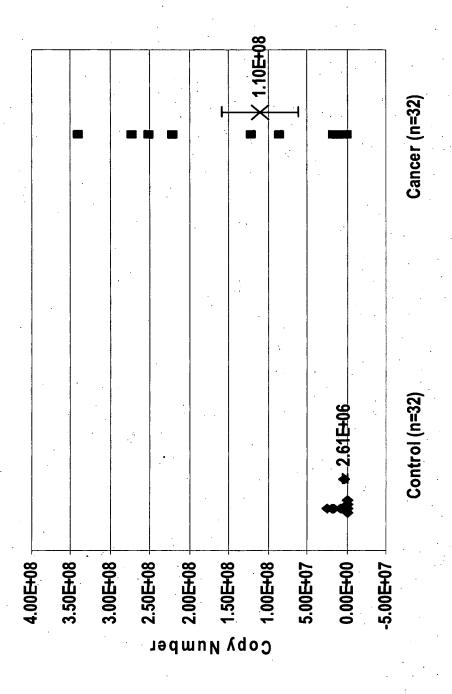
IL-8

Ctrl(x) Saliva Ctrl(x) Saliva Ctrl(x) Saliva Ctrl(x)

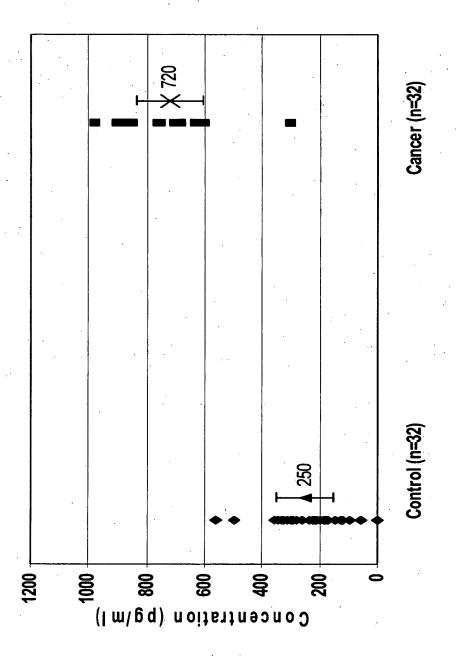
Title: RNA extraction method and biomarkers for oral cavity and oropharyngeal cancer Applicants: David WONG et al.
Filed: February 21, 2004
Express Mail Label No. ER 829862851 US
Attorney Docket No. 58027-014800

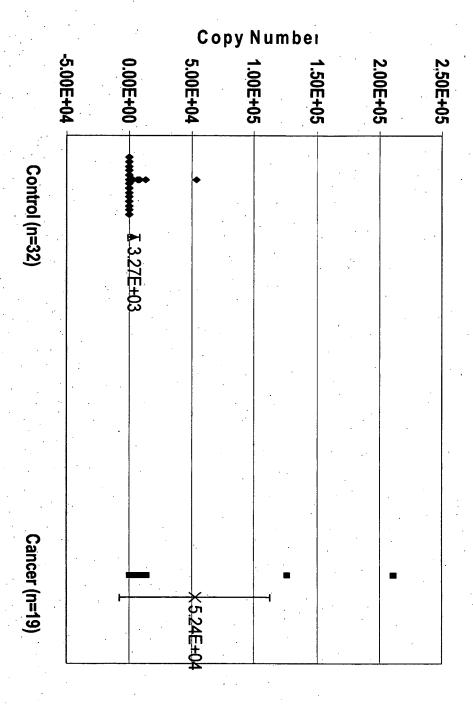






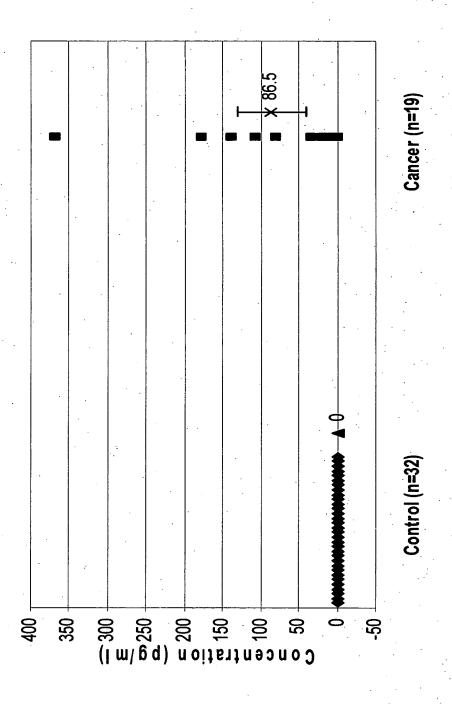
Title: RNA extraction method and biomarkers for oral cavity and oropharyngeal cancer
Applicants: David WONG et al.
Filed: February 21, 2004
Express Mail Label No. ER 829862851 US
Attorney Docket No. 58027-014800

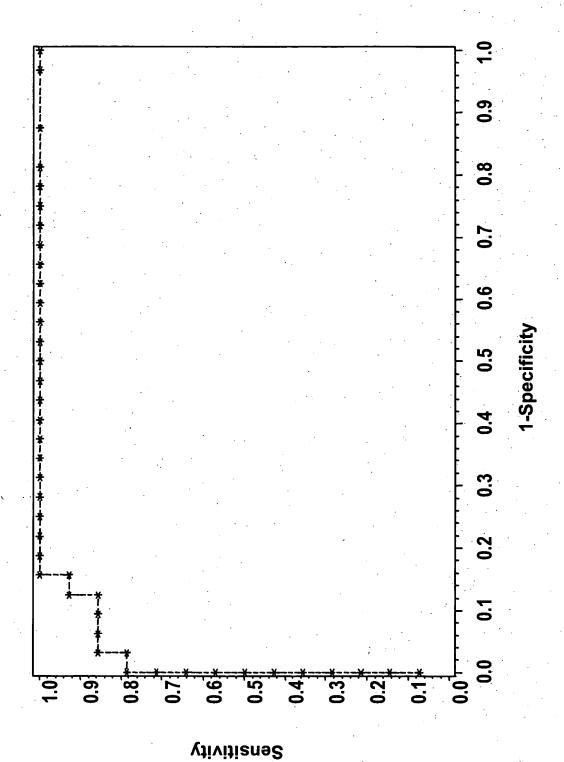




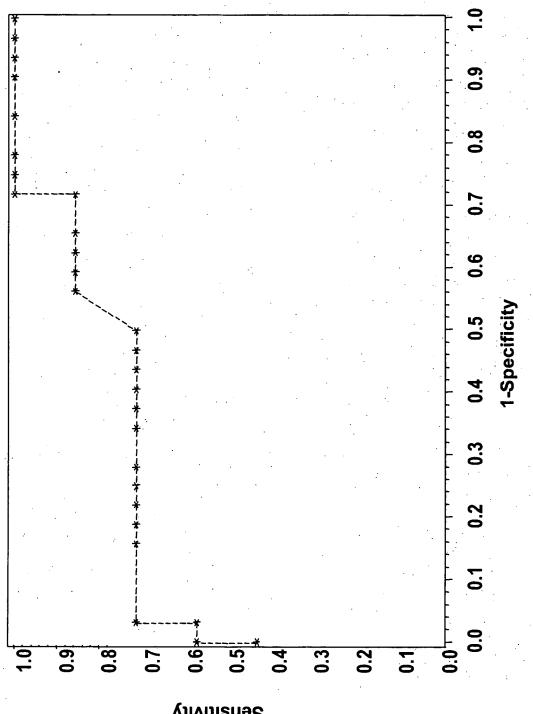
Title: RNA extraction method and biomarkers for oral cavity and oropharyngeal cancer
Applicants: David WONG et al.
Filed: February 21, 2004
Express Mail Label No. ER 829862851 US
Attorney Docket No. 58027-014800

Title: RNA extraction method and biomarkers for oral cavity and oropharyngeal cancer
Applicants: David WONG et al.
Filed: February 21, 2004
Express Mail Label No. ER 829862851 US
Attorney Docket No. 58027-014800





Title: RNA extraction method and biomarkers for oral cavity and oropharyngeal cancer
Applicants: David WONG et al.
Filed: February 21, 2004
Express Mail Label No. ER 829862851 US
Attorney Docket No. 58027-014800



Sensitivity